

Serial No.: 09/975,870
Art Unit: 1724

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

DuBose et al.

Serial No.: 09/975,870

Filed: October 12, 2001

Confirmation No.: 9713

Group Art Unit: 1724

Examiner: Robert H. Spitzer

Docket No. 820504-1010

For: **Method for Species and Exchange and an Apparatus Therefore**

STATEMENT OF COMMON OWNERSHIP

The undersigned, Ronald A. DuBose, declares and states as follows:

1. I am a co-inventor of U.S. Patent Application No. 09/975,870 and the inventor of U.S. Patent No. 6,013,385.
2. I am the Chief Executive Office of Emprise Technology Associates Corporation, the Assignee of the entire interest of U.S. Patent Application No. 09/975,870. The assignment was recorded with the USPTO on January 9, 2002, at reel 012556 and frame 0373.
3. Emprise Technology Associates Corporation is the Assignee of the entire interest of U.S. Patent No. 6,013,385. The assignment was recorded with the USPTO on March 27, 2000, at reel 010695 and frame 0226.
4. At the time the invention disclosed in U.S. Patent Application No. 09/975,870 was made, Emprise Technology Associates Corporation owned both U.S. Patent Application No. 09/975,870 and U.S. Patent No. 6,013,385 and/or the inventors of U.S. Patent Application No. 09/975,870 were under an obligation to assign the invention to Emprise Technology Associates Corporation.

MAR 2 2004
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For: **Method for Species and Exchange and an Apparatus Therefore****RESPONSE (WITH AMENDMENTS)**

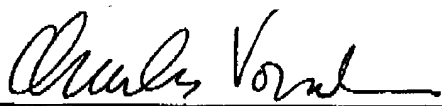
Mail Stop: AF
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

The Advisory Action mailed *March 17, 2004* (Paper No. 0315) has been carefully considered. In response thereto, please enter the following amendments in which claims 4, 8-16, 19, 20, 22-24, 27-28, 30-32, and 34-37 are amended. Claims 1, 2 and 4-40 are pending in the present application upon entry of this amendment. Reconsideration and allowance of the application and presently pending claims, as amended, are respectfully requested.

AUTHORIZATION TO DEBIT ACCOUNT

It is believed that no extensions of time or fees for net addition of claims are required, beyond those which may otherwise be provided for in documents accompanying this paper. However, in the event that additional extensions of time are necessary to allow consideration of this paper, such extensions are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to deposit account no. 20-0778.

AMENDMENT TRANSMITTAL LETTER (SMALL)				Docket No. 820504-1010	
Applicant(s): DuBose et al.					
Serial No. 09/975,870	Filing Date October 12, 2001	Examiner DuBose, et al.	Confirmation No. 9713	Group Art Unit 1724	
Invention: Method for Species and Exchange and an Apparatus Therefore					
Commissioner for Patents Mail Stop AF P.O. Box 1450 Alexandria VA 22313-1450					
Transmitted herewith is Response (With Amendments) in the above-identified application. The fee has been calculated and is transmitted as shown below					
CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	40 -	40 =	0	X \$9.00	\$0
INDEP. CLAIMS	4 -	4 =	0	X \$43.00	\$0
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>				\$145.00	\$0
EXTENSION FEE	1 ST MONTH <input type="checkbox"/> 55.00	2 ND MONTH <input type="checkbox"/> 210.00	3 RD MONTH <input type="checkbox"/> 475.00	4 TH MONTH <input type="checkbox"/> 740.00	\$0
Other Fees:					\$0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0
<input checked="" type="checkbox"/> No additional fee is required. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. A duplicate copy of this page is enclosed. <input type="checkbox"/> A Credit Card Payment Form PTO-2038 is attached in the amount of \$ _____ <input checked="" type="checkbox"/> The Director is hereby authorized to charge any deficiencies of the above fees or credit any overpayment to Deposit Account No. 20-0778.					
 Charles Vorndran, Reg. No. 45,315			<u>3/29/04</u> Date		